

JUL 13 2000 12:00PM

LARSON & TAYLOR 703 739-9577

No. 4956 P. 13.11

DECLARATION FOR USA PATENT APPLICATION

(including Design and National Stage PCT)

Attorney's Docket ID: P06652U30

As a below named inventor, I hereby declare that:
My residence, past office address and citizenship are as stated below adjacent to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **MODIFIED SERUM ALBUMIN WITH REDUCED AFFINITY FOR NICKEL AND COPPER**
the specification of which:

X is attached hereto

(or)

was filed on

as U.S. Application No.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, where priority is not claimed, any foreign application for patent or inventor's certificate, or any PCT International application, having a filing date before that of the application on which priority is claimed. (ADDITIONAL APPLICATIONS IDENTIFIED ON ATTACHED SHEET)

Prior Foreign Application No.

Country

Day/Month/Year Filed

Priority Not Claimed

I hereby claim the benefit under 35 U.S.C. 120 of any U.S. application(s), or 365(c) of any PCT application designating the U.S., listed below, and insofar as the subject matter of each claim of this application is not disclosed in the prior U.S. or PCT application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT filing date of this application. (ADDITIONAL APPLICATIONS IDENTIFIED ON ATTACHED SHEET)

U.S. or PCT Parent Application No.

Parent Filing Date (Day/Month/Year)

Parent Patent No. (if applicable)

As a named inventor, I hereby appoint the registered practitioners of **LARSON & TAYLOR, PLC** associated with Customer Number 000981 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Direct all correspondence to that Customer Number.

Direct all telephone calls to: B. Aaron Schulman
at TEL (703) 739-4900 (FAX 703 739 9577) e-mail: bschulman@larsonstaylor.com

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1000 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SOLE OR FIRST INVENTOR		Citizenship	USA
Given Name (first and middle (if any))		Family Name or Surname	CARTER
Full Post Office Address			
119 Wood Creek Drive, Madison, Alabama, 35758, USA			
Residence - City, State/Country (if different from PO address)			
"same as above"			
SIGN AND DATE HERE Inventor's Signature		Date	7/13/00
SECOND JOINT INVENTOR (if any)		Citizenship	
Given Name (first and middle (if any))		Family Name or Surname	
Full Post Office Address			
Residence - City, State/Country (if different from PO address)			
SIGN AND DATE HERE Inventor's Signature		Date	
THIRD JOINT INVENTOR (if any)		Citizenship	
Given Name (first and middle (if any))		Family Name or Surname	
Full Post Office Address			
Residence - City, State/Country (if different from PO address)			
SIGN AND DATE HERE Inventor's Signature		Date	
FOURTH JOINT INVENTOR (if any)		Citizenship	
Given Name (first and middle (if any))		Family Name or Surname	
Full Post Office Address			
Residence - City, State/Country (if different from PO address)			
SIGN AND DATE HERE Inventor's Signature		Date	

JUL 13 2000 11:59AM

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No. 4958 E. 21-01

CUSTOMIZED PTO/SB/10 (12-87)

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN**

Docket No.: P06652US0/BAS

Applicant or Patentee: Daniel C. Carter

Application or Patent No.:

Filed or Issued: Herewith

Title: MODIFIED SERUM ALBUMIN WITH REDUCED AFFINITY FOR NICKEL AND COPPER

I hereby state that I am:

- ☐ The owner of the small business concern identified below:
☒ An official of the small business concern empowered to act on behalf of the concern identified below:

Name of the Small Business Concern: NEW CENTURY PHARMACEUTICALS, INC.

Address of Small Business Concern: 895 Martin Road
Huntsville, AL 35824

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the U.S. Patent and Trademark Office, in that the number of employees of the concern, including the those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ The specification filed herewith with title as listed above.
☒ The application identified above.
☐ The patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements stating to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is identified below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern or organization is listed below.

Separate statements are required from each above named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as small entity is no longer appropriate. (37 CFR 1.28(h))

NAME of Person Signing: Daniel C. Carter

TITLE of Person if other than Owner: President

ADDRESS of Person Signing: 119 Wood Creek Drive, Madison, Alabama 35758

SIGNATURE: 

DATE: 7/13/00